Controlled Query Evaluation with Epistemic Dependencies: Algorithms and Experiments (Extended Abstract)

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Abstract

This work summarizes our paper accepted to the 24^{th} International Semantic Web Conference focusing on Controlled Query Evaluation over Description Logics ontologies. We express the data protection policy using epistemic dependencies (EDs), and use optimal ground atom (GA) censors as tools for exposing the facts entailed by the ontology in a maximal, policy-compliant way. We study the complexity of answering Boolean unions of conjunctive queries with respect to the intersection of all optimal GA censors. We identify a class of EDs for which the examined entailment problem over DL-Lite $_{\mathcal{R}}$ ontologies is first-order rewritable, and we empirically validate the efficiency of our method.

Keywords

Description Logics, Confidentiality Preservation, Query Answering, First-Order Rewritability

Providing vast amounts of structured and semantically rich information, the use of ontologies poses new challenges in knowledge management and data security. Indeed, while these technologies offer advanced tools for querying and inference, they also raise important concerns about the possible unintentional disclosure of sensitive information: seemingly innocuous queries can, when combined with ontological knowledge, lead to disclosing confidential data.

Controlled Query Evaluation (CQE) [1, 2, 3, 4] is a framework that handles this issue by providing access via queries only to data that conforms to a *data protection policy* expressed in terms of logical formulas. A central notion in CQE is that of *censor*, which represents the part of the (logical consequences of the) ontology that can be safely disclosed to the end user. In particular, we focus on *GA censors* [5], which are sets of ground atoms entailed by the ontology and compliant with the policy (a more formal definition is provided below).

In CQE, policies are typically defined as sets of *denials*, i.e. first-order (FO) sentences of the form $q \to \bot$, where q is a *Boolean conjunctive query* (BCQ). Such formulas are used to define the information that must be kept confidential: the system is required to guarantee that users cannot infer that the sentence q is entailed by the ontology. The recent work [6], though employing a notion of censor that differs from ours, described how a richer language of rules, called *epistemic dependencies* (EDs) [7], can be used for data protection purposes. EDs are a special case of EQL-Lite(CQ) [8] sentences, and are formally defined as follows.

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Definition 1. An epistemic dependency (ED) is a sentence τ of the form $\forall \mathbf{x}_1, \mathbf{x}_2 \ (Kq_b(\mathbf{x}_1, \mathbf{x}_2) \rightarrow Kq_h(\mathbf{x}_2))$, where $q_b(\mathbf{x}_1, \mathbf{x}_2)$ is a CQ with free variables $\mathbf{x}_1 \cup \mathbf{x}_2$, $q_h(\mathbf{x}_2)$ is a CQ with free variables \mathbf{x}_2 , and K is an epistemic operator.

In the same spirit as in the aforementioned work, we use EDs as disclosure rules to govern the publication of data. Intuitively, if σ is any substitution assigning the universal variables of an ED τ to constants, the fact that the ontology entails $\sigma(q_b)$ may only be disclosed if $\sigma(q_h)$ can also be made public. More formally, we say that an FO theory Φ satisfies an ED τ (in symbols $\Phi \models_{\mathsf{EQL}} \tau$) if, for every assignment σ of the free variables of q_b with constants, if $\Phi \models_{\sigma}(q_b)$ then $\Phi \models_{\sigma}(q_h)$. If Φ satisfies all EDs of a policy \mathcal{P} , then we say that Φ satisfies \mathcal{P} (in symbols $\Phi \models_{\mathsf{EQL}} \mathcal{P}$). Note that EDs can also be used to express denials, as one can have $q_h = \bot$.

To describe our ontology, we rely on Description Logics (DLs) [9]. A DL ontology is an FO theory $\mathcal{T} \cup \mathcal{A}$, where \mathcal{T} (the TBox) is a set of intensional axioms and \mathcal{A} (the ABox) is a set of facts. Hereinafter, we call *CQE instance* the triple $\mathcal{E} = \langle \mathcal{T}, \mathcal{P}, \mathcal{A} \rangle$, where \mathcal{T} is a TBox, \mathcal{P} is a policy and \mathcal{A} is an ABox. Moreover, we define an *optimal GA censor* for \mathcal{E} as any maximal (w.r.t. set inclusion) set of ground atoms \mathcal{C} such that $\mathcal{T} \cup \mathcal{A} \models \mathcal{C}$ and $\mathcal{T} \cup \mathcal{C} \models_{\mathsf{EQL}} \mathcal{P}$. The next example shows the case of a policy consisting of EDs coupled with a DL ontology.

Example 1. A company establishes that all the salaries of its employees must be kept undisclosed, except for those who hold a managerial position; moreover, it requires that consensual personal relationships between managers and their team members not be publicly revealed. This policy can be formally represented through the following set of EDs:

$$\mathcal{P} = \{ \, \forall x,y \, (K \textit{salary}(x,y) \rightarrow K \textit{manager}(x)), \\ K \exists x,y \, (\textit{managerOf}(x,y) \land \textit{consRel}(x,y)) \rightarrow K \bot \, \}$$

where manager is a unary predicate indicating that an individual is a manager, and salary, consRel and managerOf are binary predicates modelling, respectively, the salary level of a person, the consensual relationship between two individuals and the relationship where one individual manages another. By employing the existential quantifier, the second ED asserts that, for any manager (or employee), the fact that a consensual relationship exists with one of their employees (or managers) must itself be concealed—not just the two parties' identities.

In addition, our knowledge about the company is defined by the following ontology:

- A TBox $\mathcal{T} = \{\exists managerOf \sqsubseteq manager, manager \sqsubseteq \exists respDept\}$, meaning that (i) if one person manages another, then he or she is a manager; and (ii) every manager is responsible for at least one department.
- An ABox $A = \{managerOf(lucy, tom), consRel(lucy, tom), salary(lucy, 150k), salary(tom, 50k)\}$, which describes a situation in which Lucy manages Tom, they have a consensual relationship, and they receive a salary of \$150,000 and \$50,000, respectively.

Intuitively, a GA censor certainly does not contain either managerOf(lucy, tom) or consRel(lucy, tom) (in order not to violate the denial) and, in any case, it does not contain the fact salary(tom, 50k) (as Tom is not a manager). Moreover, every optimal GA censor includes both manager(lucy) and salary(lucy, 150k), because the fact that Lucy is a manager can be logically deduced from the ontology and, by revealing this information, knowing also her salary does not violate the policy.

¹An up-to-date overview of CQE in the context of DLs can be found in [10, 11].

In this setting, our objective is to answer *Boolean unions of conjunctive queries* (BUCQs) based on a formal entailment semantics that balances information disclosure with policy compliance. In particular, we investigate the problem of checking whether a BUCQ is entailed by the TBox and the intersection of all the optimal GA censors. This task, known as IGA-entailment, consists in checking whether $\mathcal{T} \cup \mathcal{C}_{\mathsf{IGA}} \models q$, where $\mathcal{C}_{\mathsf{IGA}}$ is the intersection of all the optimal GA censors of a CQE instance \mathcal{E} (in this case, we write $\mathcal{E} \models_{\mathsf{IGA}} q$).

The work [12] showed that IGA-entailment is FO-rewritable in the case of DL-Lite $_{\mathcal{R}}$ ontologies and policy consisting of denials. Formally, this means that the IGA-entailment of a BUCQ q can be checked through an algorithm that first rewrites q into an FO query q_r that does not depend on the ABox and, in a second moment, evaluates q_r over the ABox. From a theoretical perspective, such a property ensures a nice computational behavior, as its direct implication is that the problem of determining the IGA-entailment of a BUCQ with DL-Lite $_{\mathcal{R}}$ ontologies and denials is in AC 0 in data complexity [13]. On the practical side, experiments under these conditions were carried out in [14], within the OBDA framework.

We aim to extend this scenario to accommodate policies defined using EDs while preserving the *FO-rewritability* property. In particular, we focus on the class of *full EDs*, i.e. EDs whose head contains no existential variable. This class enjoys a desirable property related to security: given a CQE instance \mathcal{E} whose policy is made of full EDs, the intersection of all the optimal GA censors for \mathcal{E} is still a GA censor for \mathcal{E} . We also show that, in general, this property does not hold. We exclude, however, the FO-rewritability of IGA-entailment for this class of dependencies, by providing the following complexity result (which holds even in the case the TBox is empty):

Theorem 1. *IGA-entailment is coNP-hard in data complexity in the case of full EDs.*

We thus identify a sufficient condition for full EDs for which IGA-entailment in the case of DL-Lite_R ontologies remains FO-rewritable. Specifically, we require the policy $\mathcal P$ to be such that the set Σ of TGDs derived (in the natural way) from $\mathcal P$ and from (the inclusion assertions of) $\mathcal T$ is $\mathit{UCQ-rewritable}$ i.e., given any CQ $q(\mathbf x)$, there exists a UCQ q' such that, for every set $\mathcal F$ of facts and for every ground substitution σ of the free variables of $q, \Sigma \cup \mathcal F \models \sigma(q)$ iff $\mathcal F \models \sigma(q_r)$ for some $q_r(\mathbf x) \in q'$. In this case, we say that $\mathcal P$ is $\mathit{expandable}$ w.r.t. $\mathcal T$.

Theorem 2. IGA-entailment is FO-rewritable, and thus in AC^0 in data complexity, for DL-Lite_R TBoxes and policies that are full and expandable w.r.t. the coupled TBox.

As a final step, focusing on two categories of EDs satisfying this requirement—namely the acyclic full (where acyclicity condition is defined as in [6]) and the linear full EDs—we carried out experiments to assess the practical viability of our rewriting procedure. We developed a tool that transforms a SPARQL BUCQ into an FO query q_r using only the provided TBox and policy, and then executes q_r over an SQL database storing the ABox. As the above theorem pertains to DL-Lite \mathcal{R} , we employed the OWL 2 QL ontology and the 10 queries for OWL 2 QL provided by the OWL2Bench benchmark [15]. The outcome of our experiments, conducted on a standard laptop with an Intel i7 @1.8 GHz processor and 16GB of RAM, is summarized in Table 1. For every test case and every query, we report the rewriting time (t_r) and the evaluation time (t_e) expressed in milliseconds, other than the number of returned tuples (#). In the table, \mathcal{P}_{\emptyset} , \mathcal{P}_{a} , \mathcal{P}_{b} , \mathcal{P}_{a}^{-} , and \mathcal{P}_{b}^{-} refer to the empty policy, a full acyclic policy, a full linear policy, and, respectively,

Table 1 The results of our experiments (t_r = rewriting time; t_e = evaluation time; # = number of returned tuples).

Query		o2b₅					o2b ₁₀				
		\mathcal{P}_{\emptyset}	$\mathcal{P}_{a}^{\text{-}}$	\mathcal{P}_{a}	$\mathcal{P}_{b}^{\text{-}}$	\mathcal{P}_{b}	\mathcal{P}_{\emptyset}	$\mathcal{P}_{a}^{\text{-}}$	\mathcal{P}_{a}	$\mathcal{P}_{b}^{\text{-}}$	\mathcal{P}_{b}
q_1	$\begin{bmatrix} t_r \\ t_e \\ \# \end{bmatrix}$	19 513 9228	19 526 9228	20 547 9228	53 683 1367	63 958 334	15 822 19782	20 560 19782	28 964 19782	51 1394 2948	63 1085 730
q_2	$\begin{bmatrix} t_r \\ t_e \\ \# \end{bmatrix}$	$ \begin{array}{r} 50 \\ 81 \\ 18872 \end{array} $	249 8688 18736	336 7335 14829	476 174 5957	$511 \\ 206 \\ 5957$	65 269 44190	243 39426 43889	$ \begin{array}{r} 386 \\ 51181 \\ 33193 \end{array} $	873 876 13009	553 402 13009
q_3	$\begin{bmatrix} t_r \\ t_e \\ \# \end{bmatrix}$	$\begin{array}{c} 25 \\ 4 \\ 34 \end{array}$	41 8 34	42 6 34	$\begin{array}{c} 40 \\ 2 \\ 34 \end{array}$	$ \begin{array}{c} 109 \\ 4 \\ 28 \end{array} $	38 8 75	32 5 75	43 9 75	62 5 75	112 6 64
q_4	t_r t_e #	21 6 0	40 7 0	35 2 0	52 4 0	133 3 0	33 7 0	33 5 0	49 7 0	68 5 0	97 3 0
q_5	t_r t_e #	21 17 3574	554 30699 2020	473 29679 2020	250 1823 952	319 1003 264	29 44 6564	$\begin{array}{r} 482 \\ 110763 \\ 3676 \end{array}$	917 124305 3676	451 5056 1696	334 1283 394
q_6	$\begin{array}{c} t_r \\ t_e \\ \# \end{array}$	$ \begin{array}{r} 18 \\ 59 \\ 16236 \end{array} $	114 60661 15834	115 28564 7811	161 81 0	116 230 0	$ \begin{array}{r} 25 \\ 235 \\ 35889 \end{array} $	$\begin{array}{r} 148 \\ 283020 \\ 35075 \end{array}$	109 141173 17481	263 333 0	193 282 0
q_7	$\begin{array}{c} t_r \\ t_e \\ \# \end{array}$	75 66 5489	2029 198641 5489	1976 187908 5091	1378 403 5489	1847 496 3292	88 334 11969	2205 993701 11969	$\begin{array}{c} 2244 \\ 1119538 \\ 10971 \end{array}$	2222 1343 11969	1801 812 7241
q_8	$\begin{bmatrix} t_r \\ t_e \\ \# \end{bmatrix}$	22 62 17904	52 75 17904	48 63 17904	257 615 14668	263 647 14668	$ \begin{array}{r} 21 \\ 186 \\ 39278 \end{array} $	49 112 39278	44 143 39278	$ \begin{array}{r} 399 \\ 1548 \\ 32350 \end{array} $	272 963 32350
q_9	$\left \begin{array}{c}t_r\\t_e\\\#\end{array}\right $	$135 \\ 31 \\ 1698$	1711 1412 1539	2302 1313 1539	$1765 \\ 137 \\ 0$	$ \begin{array}{c} 3430 \\ 129 \\ 0 \end{array} $	195 93 3434	$ \begin{array}{r} 1778 \\ 10230 \\ 3196 \end{array} $	2290 10944 3196	$ \begin{array}{r} 2877 \\ 598 \\ 0 \end{array} $	3144 332 0
q_{10}	$\left \begin{array}{c}t_r\\t_e\\\#\end{array}\right $	22 78 642	1243 676 122	1220 3 0	184 110 642	337 159 144	32 297 1413	$ \begin{array}{r} 1351 \\ 4753 \\ 258 \end{array} $	1433 3 0	380 478 1413	477 270 335

their "reduced" versions. Moreover, o2b_i with $i \in \{5, 10\}$ refers to the ontology included in the benchmark containing axioms and ground data about i fictitious universities.

We observe that (i) in most cases the evaluation time t_e is acceptable (of the order of seconds), although the seventh query takes several minutes; (ii) the rewriting time t_r —which is nearly identical for $o2b_5$ and $o2b_{10}$ as it does not depend on the ABox—is often negligible and never exceeds three seconds; (iii) for both acyclic and binary policies, t_r values for smaller and larger policies are of comparable magnitude; and (iv) binary policies tend to remove more tuples than acyclic ones, likely because EDs with fewer atoms in their body are more easily "activated".

All the results with full proofs are reported in the extended version of the paper [16].

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